

INSTRUCTIONS AND INFORMATION (Rev. 6/12/24)

1. Please note that Public Officers Law Article 6 states that all records in the possession of the Herkimer County Industrial Development Agency (Agency) are open to public inspection and copying. If you feel that certain sections of the Project Application should be withheld from public inspection, please notate accordingly to request such sections be kept confidential.
2. New laws have been established in regards to record keeping, reporting, and recapture requirements for IDA's that authorize sales tax exemptions to a Project Operator or Agent. The Agency must now keep a record of the amount of sales tax benefits provided to each Project and make those records available to the State upon request. Also, within 30 days of providing financial assistance to a Project, the Agency must report to the State the amount of sales tax benefits intended to be provided to a Project. It is now a requirement of the State that the Agency post on the internet and make available without charge copies of its resolutions and project agreements and documents.
3. If you are requesting a sales tax exemption from the Agency as part of your application for assistance, you must include a realistic estimate of the value of the savings anticipated. **IT IS IMPERATIVE THAT THE SALES TAX ESTIMATES IN THE APPLICATION BE AS REALISTIC AS POSSIBLE.** This is the number that will be provided to the state.
4. The state requires that the Agency recapture any state sales tax benefits given if: a. the project was not entitled to receive benefits; b. the exemptions exceed the amount authorized or were claimed for unauthorized property or services; c. the Project Operator failed to use the property or services in a manner indicated by its agreement with the Agency.
5. It is important that the Application is completed in its entirety leaving no blanks. If the question is not applicable, please note n/a.
6. If more space is needed for a particular answer, please attach a separate sheet.
7. The Agency non-refundable general application fee is \$1000. The Market Rate Housing Benefit fee due with the application is \$2,000 which includes a non-refundable application fee of \$1000 and a commitment fee of \$1,000 that will be applied at closing; if the project does not close, the \$1,000 is not refundable.
8. Agency fees are assessed at 1% of the total project cost.
9. The Agency will collect ½ (one-half) of the project fee at the time of signing of an inducement agreement. The final half of the Agency fee will be payable at which time the project closing takes place.
10. Bonding fees are assessed at 1% of the total value of Industrial Revenue Bonds.
11. The Agency will collect ½ (one-half) of the bonding fee at the time of signing of an inducement agreement for any bonding projects. The final half of the bonding fee will be payable at which time the project closing takes place.
12. The applicant is responsible for all Agency legal fees related to this project.
13. Should your company for any reason decide to withdraw this application for financial assistance after submission but prior to completion, you will be responsible for any legal fees involved up to the time of withdrawal. Also, the Agency will assess a fee for services rendered and costs incurred to date.

14. Should your company reassign your project, the Agency will collect a non-refundable fee of \$2,000 at the time of reassignment.
15. The Agency will assess your company an annual administration fee to cover ongoing compliance and oversight functions in the form of rent under the Lease Agreement in the amount of \$750 for general projects; \$1,500 for solar projects in years 1-5, then increased by \$500 every five years throughout the duration of the project; \$1,500 for housing projects in years 1-5, then increased by \$500 every five years throughout the duration of the project.
16. In accordance with section 224-a(8)(d) of article 8 of the New York Labor Law, the Agency has identified that any "financial assistance" (within the meaning of section 858 of the General Municipal Law) granted by the Agency to the Applicant consisting of sales and use tax exemption benefits, mortgage recording tax exemption benefits and real property tax exemption benefits, constitutes "public funds" within the meaning of section 224-a(2)(b) of Article 8 of the New York Labor Law and such funds are not excluded under Section 224-a (3) of Article 8 of the New York Labor Law. The Agency hereby notifies the Applicant of the Applicants obligations under Section 224-a (8)(a) of Article 8 of the New York Labor Law.
17. PHOTOGRAPH RELEASE AND LICENSE AGREEMENT:
 1. GRANT OF LICENSE AND RIGHTS: The business owner hereby grants an exclusive license to and any and all rights and benefits, if any, to the photographs taken by the HCIDA, its agents/assigned at the jobsite for use in any advertising, promotion, and marketing campaign that may conduct in the future. Moreover, it is understood and acknowledged that this license and rights shall apply to any third parties or agents that the HCIDA in its sole discretion deems necessary to properly and adequately market or promote its services.
 2. CONSIDERATION: It is understood and agreed that other than the consideration previously received the business owner will not be entitled to receive any further consideration relative to the use of the photographs described herein, including monetary consideration.
 3. RESTRICTIONS: It is understood and agreed that there will be no restrictions on the license and/or rights granted hereby.
 4. PROMOTION/MARKETING: It is understood and agreed that the business owner shall have no control or input as to how the photographs are used or utilized in any marketing campaign or promotion and/or advertising unless the HCIDA, in its sole discretion deems that such input would be appropriate and useful. It is understood and agreed that the HCIDA shall have sole authority to determine the mode and method of advertising, merchandising, promoting, selling, and distributing, that involves the use or utilization of the subject photographs. Moreover, it is understood and agreed that the HCIDA will not be required to obtain and further approval or consent from the business owner prior to the use or utilization of any photographs for any promotion or marketing campaign and/or advertising.



**APPLICATION TO
HERKIMER COUNTY INDUSTRIAL DEVELOPMENT AGENCY
FOR FINANCIAL ASSISTANCE**

SECTION 1: APPLICANT INFORMATION:

Company Name: Pacemaker Steel and Piping Co., Inc. (or an entity to be formed on its behalf)
Address: 501 Main Street
Utica, New York 13501
Product/Services: Steel and aluminum construction and HVAC raw materials
Phone No.: 315-235-2680
Email Address: revans@pacemakersteel.com
Fed ID No.: _____ **NAICS Code:** 423510
Contact Person/Title: Richard W. Evans, President

Principal Owners/Officers/Directors:

(list owners with 15% or more in equity holdings with percentage ownership)

See attached

Corporate Structure (attach schematic if Applicant is a subsidiary or otherwise affiliated with another entity)

Form of Entity:

☒ Corporation

☐ Partnership

General or Limited _____;

Number of general partners _____;

If applicable, number of limited partners _____

☐ Limited Liability Company/Partnership (number of members _____)

☐ Sole Proprietorship

If a corporation, partnership, limited liability company/partnership:

Date of establishment 1956 (NY) and 2001 (DE) _____.

Place of organization Delaware _____.

If a foreign organization, is the Applicant authorized to do business in the State of New York? Yes _____.

APPLICANTS' COUNSEL

Name: Linda E. Romano, Esq.
Address: 501 Main Street
Utica, New York 13501
Phone No.: 315-738-1223
Email: lromano@bsk.com

SECTION 2: PROJECT INFORMATION:

A) Describe the proposed project, acquisition, construction or reconstruction in as much detail as possible.

See attached

B) Project Description (check all applicable)

☒ Manufacturing

☒ Warehousing/Distribution

☐ Tourism Destination Facility

☒ Retail*

☐ Other – Specify _____

*If the Project has a retail component, please complete SECTION 6 of this application – the Retail Questionnaire.

C) Name of all sub-lessees or other occupants of the facility:

Applicant will occupy entire facility

D) Principals of any sub-lessee or occupant.

N/A

SECTION 3: COST BENEFIT ANALYSIS:

A) Estimate Project Costs (where applicable)

1. Land	\$ 500,000
2. Building	\$ 3,000,000
3. Renovation Costs	\$ 1,455,500
4. Machinery and Equipment	\$ 1,904,500
5. Soft Costs	\$ 365,000
6. Legal Costs	\$ 75,000
7. Other (specify)	\$ 0
8. Total Estimated Project Amount	\$ 7,300,000

Of the above amount, total dollar value of labor and materials to be sourced within the Mohawk Valley Regional Economic Development Council Region (Herkimer, Oneida, Fulton, Montgomery, Schoharie, and Otsego Counties) \$ 1,200,000

B) Financing (Source of funds where applicable)

1. Bank	\$ 3,000,000
2. Private Funds Invested	\$ 2,840,000
3. Industrial Revenue Bond	\$ 0
4. Other	\$ 1,460,000
5. Total (should equal SECTION 3.A.8)	\$ 7,300,000

C) Financial Assistance Requested (Proposed Benefit Estimates) (Please note n/a in any line where you are not seeking assistance)

1. Type of Financing: _____ Tax-Exempt _____ Taxable	☒ Straight Lease
2. Amount of Bonds Requested:	\$ <u>N/A</u>
2. A Estimated interest savings on Issuance by the Agency of Industrial Revenue Bonds	\$ <u>N/A</u>
3. Amount of New Mortgage (s) required for project:	\$ <u>3,000,000</u>
3.A. Mortgage Recording Taxes Exemption (1% of total proposed mortgage amount)	\$ <u>30,000</u>
4. Project-Related Costs Subject to Sales Tax:	\$ <u>1,000,000</u>
4.A. NYS Sales and Compensating Use Tax (State 4% + Local 4.25% = Total 8.25%)	\$ <u>82,500</u>
5. Real Property Tax Exemptions (See "Property Tax Exemption" table below, Column C minus Column B. The Agency can assist with this estimation at your request.)	\$ <u>381,135.14</u>
Total Estimated Value of Tax Exemptions (Total of 2.A, 3.A, 4.A, & 5)	\$ <u>493,635.14</u>

Provided by HCIDA 8/20/2026 Estimated property
tax abatement: \$381,135.14

D) Real Property Tax Exemption

Please use the table below to list estimated real property exemption by year. In 'Column A' enter the tax revenue generated by all applicable parcels absent a project. Typically, this value is calculated for the current year and then escalated at 2% per year for the duration of the would-be PILOT term. In 'Column B' enter the estimated value of the PILOT payments for each year through the duration of the PILOT term. In 'Column C' enter the property taxes that the Project would otherwise pay, but-for the PILOT abatement, on the full assessed value. Typically, this value is calculated for the current year and then escalated at 2% a year for the PILOT term. Each column should have an equal number of entries for the entire length of the of PILOT.

Year	<u>Column A</u> Property Tax Without the Project	<u>Column B</u> Estimated PILOT Payments	<u>Column C</u> Estimated Property Taxes on Full Assessment
1	103,017.26	51,508.63	103,017.26
2	105,077.61	56,659.50	105,077.61
3	107,179.16	61,810.36	107,179.16
4	109,322.75	66,961.22	109,322.75
5	111,509.20	72,112.09	111,509.20
6	113,739.38	77,262.95	113,739.38
7	116,014.17	82,413.81	116,014.17
8	118,334.46	87,564.68	118,334.46
9	120,701.14	92,715.54	120,701.14
10	123,115.17	97,866.40	123,115.17

Attach more sheets as necessary.

Column A: The amount of tax due in each year if the Project that is the subject of this application does not occur.

Column B: The estimated PILOT amounts for each year.

Column C: The hypothetical value of property tax payments as if the Project moves forward and the property is fully taxable.

E) Employment Information/Job Creation

*The Agency uses the following standard when reporting **FTE – Full Time Equivalent** jobs: Full-time equivalent is a ratio that compares the number of hours worked during a pay period by an employee to the number of work hours during the pay period that equates to full time employment. For example, an employee who works hours equal to full time is 1.0 FTE. An employee who works half the hours of full-time employment is 0.5 FTE, while an employee that works one-third the hours of full-time employment would be considered .3 FTE. Please contact the Agency for assistance if needed.*

Please estimate the number of jobs (both retained and created) associated with the operations of the Project subject to this application:

Previous Year	Current Year	Year 1	Year 2	Year 3
46	47	51	55	56

For year 3, total number of jobs retained: FTE 47

For year 3, total number of jobs created: FTE 9

For the jobs that will be created and retained as a result of this project, please provide more information below.

The tables below capture the number of jobs created and retained in the first three years of operations as the Project reaches employment stability. Do not include construction phase employment below. Also note, the following tables should be **cumulative**. In other words, jobs that are created in Year 1 and expected to be retained through Year 3, should be included in each of the three tables below. Therefore, in most cases, job counts in Year 3 should be greater than, or equal to, jobs in Year 1.

In the 'Description' tab please enter the job title for each position. In the 'NAICS Code' column enter the NAICS code associated with each position. If NAICS Code is unknown, please use the keyword search function in the following link to find the most appropriate code: <https://www.census.gov/naics/>. Enter the **cumulative** job count and the average salary for each position in the 'Count' and 'Average Salary' columns respectively. Attached additional sheets as needed.

Year 1

Description	NAICS Code	Job Count	Average Salary
Truck Driver	423510	8	\$72,326
Warehouse	423510	11	\$64,538
Operating	423510	29	\$82,148
Executive	423510	3	\$225,079

Year 2

Description	NAICS Code	Job Count	Average Salary
Truck Driver	423510	9	\$74,495
Warehouse	423510	13	\$66,474
Operating	423510	30	\$84,612
Executive	423510	3	\$231,831

Year 3 (Stabilization and thereafter)

Description	NAICS Code	Job Count	Average Salary
Truck Driver	423510	9	\$76,730
Warehouse	423510	13	\$68,468
Operating	423510	30	\$87,150
Executive	423510	4	\$216,590 *

Note: Enter the entire created/retained job count for the Project in Year 3, even if full employment won't occur until a later year.

Please note any proposed fringe benefits for jobs to be created by job title. In this section please also indicate whether, and the extent to which, the project will provide onsite daycare facilities.

All employees are offered healthcare and pension benefits. There will be no on-site daycare.

Estimate number of construction jobs to be used for this project: FTE 20

* Average salary decreases in Year 3 accounting for starting salary of new executive hire

F) Other Benefits

In this section, please list any other public and/or private benefits associated with the Project. Wherever possible, please quantify those benefits. (If necessary, please use an attachment to describe and quantify those amounts for each year of the PILOT.) Examples of such quantifiable benefits would include hotel occupancy tax, retail sales tax, host community benefit payments, etc. Please also describe any non-quantifiable benefits if applicable.

Other Public Benefits: Pacemaker provides first stage manufacturing and value added services. Increasing our efficiencies will support the
region's manufacturing, fabrication and contractor industries and respond to the increased demand for steel products. Additionally, many of our
vendors pick up materials on site, which means they utilize restaurants and hotels in the area.

As with public benefits, please list any quantifiable or non-quantifiable benefits that accrue to private individuals (royalty payments, solar lease payments, etc.)

Other Private Benefits: N/A

SECTION 4: PROJECT LOCATION/UTILITIES/IMPACT

- A) Project Address: 1963 Country Mile Road
Town of Frankfort
- B) Are Utilities on Site
Water x Electric x
Gas x Sanitary/Storm Sewer x
- C) Present legal owner of the site 4905 East Lake Road, LLC.
- D) Zoning of Project Site: Current: Industrial Proposed: Industrial.
- E) Are any variances needed: No.
- F) Principal use of Project upon completion: Steel processing, distribution, warehouse.
- G) Will the Project result in the removal of a plant or facility of the Applicant from one area of the State of New York to another? Yes.
- H) Will the Project result in the removal of a plant or facility of another proposed occupant of the Project from one area of the State of New York to another area of the State of New York? No.
- I) Will the Project result in the abandonment of one or more plants or facilities located in the State of New York? Yes.

If you answered yes to G-H or I please indicate whether the project is reasonably necessary for the company to maintain its competitive position in the industry. Please explain in detail. Attach supporting documentation.

See attached.

SECTION 5: REPRESENTATIONS BY THE APPLICANT:

The Applicant understands and agrees with the Agency as follows:

- A.) **Job Listings.** In accordance with Section 858-b(2) of the New York General Municipal Law, the Applicant understands and agrees that, if the Project receives any Financial Assistance from the Agency, except as otherwise provided by collective bargaining agreements, new employment opportunities created as a result of the Project will be listed with the New York State Department of Labor Community Services Division (the “DOL”) and with the administrative entity (collectively with the DOL, the “JTPA Entities”) of the service delivery area created by the federal job training partnership act (Public Law 97-300) (“JTPA”) in which the Project is located. The IDA encourages to the fullest extent possible, the hiring of local labor for all construction projects.
- B.) **Annual Sales Tax Filings.** In accordance with Section 874(8) of the New York General Municipal Law, the Applicant understands and agrees that, if the Project receives any sales tax exemptions as part of the Financial Assistance from the Agency. In accordance with Section 874(8) of the General Municipal Law, the Applicant agrees to file, or cause to be filed, with the New York State Department of Taxation and Finance, the annual form prescribed by the Department of Taxation and Finance, describing the value of all sales tax exemptions claimed by the Applicant and all consultants or subcontractors retained by the Applicant. A copy of such form should be provided to the Agency annually upon submission to the State.
- C.) **Sales Tax Tracking.** The Applicant understands and agrees that, if the Project receives any sales tax exemptions as part of the Financial Assistance that they will submit to the Agency, a quarterly tracking form (form will be provided) listing all sales tax savings incurred to allow for the Agency to monitor and report to the State as required.
- D.) **Recapture of Benefits.** The Applicant understands and agrees that the benefits received from tax abatements/exemptions shall be subject to recapture in accordance with the Agency’s tax exemption policy. The Agency reserves the right to include in the transaction documents the recapturing of the total value of real property/sales tax exemptions approved for a Project if any of the following conditions arise:
- a. The Project Facility as defined in the PILOT/Lease Agreement is sold or closed and the Applicant leaves or departs Herkimer County.
 - b. There is a significant change in the use of the Project Facility and/or business activities of the Applicant.
 - c. There is a significant reduction in the number of full/part-time jobs at the Project Facility in comparison to what was estimated in the Applicant’s Project Application that are not reflective of the Applicant’s normal business cycle or national economic conditions.
 - d. The Applicant fails to fully comply with all periodic and/or annual reporting requirements of the Agency, State or Federal government.
 - e. The Applicant failed to achieve any minimal new job creation figures specified by and within the time frames specified by the Agency

- E.) **Annual Employment Reports.** The Applicant understands and agrees that, if the Project receives any Financial Assistance from the Agency, the Applicant agrees to file, or cause to be filed, with the Agency, on an annual basis, reports regarding the number of people employed at the project site in addition to any additional project information as may be required. The Chief Executive Officer shall submit to the Agency prior to February 1st of each year, a written certification setting forth:
- a. Number of full-time equivalent employees at the Project location as of the last date of the prior year
 - b. Number of construction jobs during the fiscal year as a result of the Project

- F.) **Absence of Conflicts of Interest.** The Applicant has received from the Agency a list (see pages 18-19) of the members, officers and employees of the Agency. No member, officers or employee of the Agency has an interest, whether direct or indirect, in any transaction contemplated by this Application, except as hereinafter described:
- None.
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SECTION 6: RETAIL QUESTIONNAIRE (if applicable):

(To be completed by Applicant indicated retail component of the Project in Part 2, Question B of this application).

- A.) Will any portion of the Project (including that portion of the cost to be financed from equity or sources other than Agency financing) consist of facilities or property that are or will be primarily used in making retail sales to customers who personally visit the Project? YES x NO

For purposes of Question A, the term "retail sales" means (i) sales by a registered vendor under Article 28 of Tax Law of the State of New York (the "Tax Law") primarily engaged in the retail sale of tangible personal property (as defined in Section 1101(b)(4)(i) of the Tax Law), or (ii) sales of a service to customers who personally visit the Project.

- B.) If the answer to Question A is YES, what percentage of the cost of the Project (including that portion of the cost to be financed from equity or sources other than Agency financing) will be expended on such facilities or property primarily used in making retail sales of goods or services to customers who personally visit the Project? 3.00 %

- C.) If the answer to Question A is YES, and the amount entered for Question B is greater than 33.33%, indicate whether any of the following apply to the Project: N/A

1. Is the Project likely to attract a significant number of visitors from outside the economic development region (i.e., Onondaga and Albany Counties) in which the Project is or will be located?

YES NO

2. Is the predominant purpose of the Project to make available goods or services which would not, but for the Project, be reasonably accessible to the residents of the city, town or village within which the Project will be located, because of a lack of reasonably accessible retail trade facilities offering such goods or services? YES NO

3. Will the Project be located in one of the following: (a) an area designated as an empire zone pursuant to Article 18-B of the General Municipal Law; or (b) a census tract or block numbering area (or census tract or block numbering area contiguous thereto) which, according to the most recent census data, has (i) a poverty rate of at least 20% for the year in which the data relates, or at least 20% of the households receiving public assistance, and (ii) an unemployment rate of at least 1.25 times the statewide unemployment rate for the year to which the data relates? YES NO

If the answer to any of the subdivisions 1 through 3 of Question C is YES, attach details.

- D.) If the answer to any of the subdivisions 2 through 3 of Question C is YES, will the Project preserve permanent, private sector jobs or increase the overall number of permanent, private sector jobs in the State of New York? If YES, attach details. YES NO

- E.) State percentage of the Applicant's annual gross revenues comprised of each of the following:
Retail Sales: 3.00 % Services: 15.00 %

- F.) State percentage of Project premises utilized for same:
Retail Sales: 3.00 % Services: 15.00 %

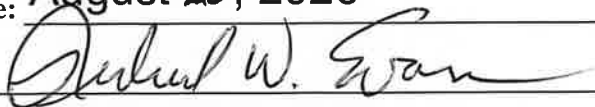
SECTION 7: FINANCIAL INFORMATION (attach the following):

1. Financial Statements for the last three fiscal years.
2. Proforma balance sheet as at start of operations at project site.
3. Projected profit and loss statements for first two years of operation at project site.
4. Projected "cash flow" statement, by quarters, for first year of operation at project site.
5. Detailed site plans
6. Construction budgets or contractor estimates
7. Evidence of current employment, such as NYS-45 Quarterly Report

The Applicant and the individual executing this Application on behalf of the applicant acknowledge that the Agency will rely on the representations made herein when acting on this application and hereby represent that the statements made herein do not contain any untrue statement of a material fact and do not omit to state a material fact necessary to make the statements contained herein not misleading.

Applicant: Pacemaker Steel and Piping Co., Inc.

Date: August 20, 2026

By: 

Name: Richard W. Evans

Title: President

Application Submittal: Submit this application with a general application fee of \$1,000.00. If this application is for Market Rate Housing Benefits, please submit \$2,000.00 which includes a non-refundable application fee of \$1,000.00 and a commitment fee of \$1,000.00 that will be applied at closing; if the project does not close the \$1,000.00 is not refundable.

Make check payable to: Herkimer County Industrial Development Agency, 420 E. German Street, Suite 101A, Herkimer, New York 13350, to the attention of John J. Piseck, Jr., Chief Executive Officer.

Project Fees: The Agency will collect ½ (one-half) of its Project Fee and ½ (one-half) of its Bonding Fee, if a bonding project, at the time of the signing of an inducement agreement. The final half of the Agency fee and Bonding fee will be payable at which time the HCIDA takes title to the Facility, or upon issuance of bonds. The applicant will also be responsible for all HCIDA legal fees related to this project.

Should your company for any reason decide to withdraw this application for financial assistance after submission but prior to completion, you will be responsible for any legal fees involved to that point. In addition, the Agency will assess a fee for services rendered and costs incurred. Should your project be reassigned to another institution, the Agency will collect a fee of \$2,000 for costs incurred due to the reassignment.

Agency fees will be assessed at 1% of the total project cost.

Bonding fees will be assessed at 1% of the total Industrial Revenue bond amount.

The Agency will assess your company an annual administration fee in the form of rent under the Lease Agreement in the amount of \$750.00 for general projects; \$1,500 for solar projects in years 1-5, then increased by \$500 every 5 years throughout the duration of the project; \$1,500 for housing projects in years 1-5, then increased by \$500 every five years throughout the duration of the project.

"This institution is an equal opportunity provider, employer and lender"

HOLD HARMLESS AGREEMENT

Applicant hereby releases the Herkimer County Industrial Development Agency and the members, officers, servants, agents and employees thereof (the "Agency") from, agrees that the Agency shall not be liable for and agrees to indemnify, defend and hold the Agency harmless from and against any and all liability arising from expense incurred by (A) the Agency's examination and processing of, and action pursuant to or upon, the attached Application, regardless of whether or not the Application or the Project described therein or the tax exemptions and other Assistance requested therein are favorably acted upon by the Agency, (B) the Agency's acquisition, construction and/or installation of the Project described therein and (C) any further action taken by the Agency with respect to the Project; including without limiting the generality of the foregoing, all causes of action and attorneys' fees and any other expenses incurred in defending any suits or actions which may arise as a result of any of the foregoing.

Pacemaker Steel and Piping Co., Inc.

(Applicant)

August , 2026

(Date)

(By)

Richard W. Evans

(Name)

President

(Title)

STATE OF NEW YORK)

)ss.:

COUNTY OF ONEIDA)

On the 20th day of August, in the year 2026, before me, the undersigned a Notary Public in and for said State, personally appeared Richard W. Evans, personally known to me or proved to me on the basis of satisfactory evidence to be the individual whose name is subscribed to the within instrument and acknowledged to me that he/she executed the same in his/her capacity, and that by his/her signature on the instrument, the individuals, or the person or behalf of which the individuals acted, executed this instrument.


Notary Public

LAURA S. RUBERTO
Notary Public in the State of New York
Qualified in Oneida County 01RU5031396
My Commission Expires Aug. 1, 2030

2025 BOARD MEMBERS & STAFF
HERKIMER COUNTY INDUSTRIAL DEVELOPMENT AGENCY

CHAIRMAN
Vincent J. Bono

Date Appointed
7/3/2002

VICE CHAIRMAN
Cory Albrecht

Date Appointed
4/4/2018

SECRETARY
Robert Davenport

Date Appointed
10/28/2025

TREASURER
Tim Day

Date Appointed
5/18/2022

DIRECTOR
Ann Gaworecki

Date Appointed
8/07/2019

DIRECTOR
Frank Mendl

Date Appointed
12/11/2024

DIRECTOR
Dr. Terri Grates Day

Date Appointed
10/22/2025

Herkimer County Industrial Development Agency Board Members are appointed by the Herkimer County Board of Legislators and serve at the pleasure of the board.

**ASSISTANT SECRETARY/TREASURER/
HUMAN RESOURCES REPRESENTATIVE**
Victoria Adams

IDA ATTORNEY
Anthony Hallak, Esq.
Felt Evans LLP

CONTRACTING OFFICER
John Piseck

IDA SPECIAL COUNSEL
Charles Malcomb
Shannon Wagner
Hodgson Russ LLP

COMMITTEES
Revolving Loan Committee (12/19/2024):
Audit and Governance Committees (12/19/2024):
Marketing Committee (10/28/2025):
Finance Committee
Claims Auditors

Ann Gaworecki, Cory Albrecht, Bono
Board as a Whole
Tim Day, Dr. Terri Grates Day
Board as a Whole
Ann Gaworecki, Vincent J. Bono

COUNTY REPRESENTATIVE
Gregory Malta, Sr. – District 1
Herkimer County Legislator

Board Meetings held the last Tuesday of every month at 8:00 AM (subject to change)
At the Herkimer County Chamber of Commerce, Conference Room 420 E. German St., Herkimer

October 29, 2025

STAFF

John J. Piseck, Jr., Chief Executive Officer

John Piseck joined the IDA in February 2018. His experience includes: Sales Engineer for CTM Corporation (15 years); Herkimer County Legislator (4 years); past Chairman of the Board of Directors for the HCIDA; former member of Mohawk Valley Economic Development District; served on Board of Directors for the Creative Core.

Victoria Adams, Operations Manager

Victoria Adams joined the IDA in March 2019. She comes from a financial background in accounting and banking. Victoria performs all financial operations, human resource functions, and administrative tasks for the Agency under the direction of the Chief Executive Officer.

Nicole Farber, Administrative Office Assistant

Nicole joined the HCIDA in July 2025. Previous experience was in Account Management for Environmental Services and Sustainability. Performs financial operations, and administrative tasks for the Agency under the direction of the Chief Executive Officer.

Samantha Canarelli, Marketing & Communications Specialist

Samantha joined HCIDA in September 2025 as the Marketing and Communications Specialist. She brings a background in banking and holds an MBA with a concentration in marketing. At HCIDA, she leads efforts in marketing strategy, digital outreach, and brand communication.

Attachment to Application for Financial Assistance Pacemaker Steel and Piping Co., Inc.

SECTION 1: APPLICANT INFORMATION

Shareholders with 15% Or more equity:	LER Pacemaker Trust	43.0495%
	JFR Pacemaker Trust	43.0495%

Directors:	Linda E. Romano
	John F. Romano
	Richard Evans
	Jeffrey Ulrich
	Timothy Reed

Officers:

Chairman	Linda E. Romano
President	Richard W. Evans
Chief Operating Officer	Richard W. Evans
General Counsel	Linda E. Romano
Chief Financial Officer	Joanne L. Croop
Corporate Exec. Vice President	Jeffrey P. Ulrich
Treasurer	Joanne L. Croop
Secretary	Linda E. Romano
Assistant Secretary	Joanne L. Croop
Assistant Secretary	Laura S. Ruberto

Attachment to Application for Financial Assistance Pacemaker Steel and Piping Co., Inc.

capacity to grow internally. Additional benefits will be achieved by providing customer support with additional processing capability not currently offered. Additional storage and processing capacity will allow the company to expand delivery points.

Pacemaker currently operates out of five century-old buildings in the City of Utica, which is inefficient and costly. We have become effective unloading and loading product throughout the buildings, but this is hindering efficiencies. In spite of this we have been able to grow our business but feel that we have approached capacity. We are losing our competitive edge due to the limitations of our existing facilities. We are using our Binghamton operation to assist but that is causing increased costs with manpower and trucking.

Pacemaker has been actively searching for over 25 years for a building large enough to incorporate all of its inventory, equipment and staff. The building at 1963 Country Mile Road will give us the opportunity to move 60% of our business and still operate from our 1700 Broad Street location. We have plans to build a 30,000 square foot addition as Phase 2; once completed, we will move the Broad Street operation.

We will also become more efficient as a single facility will eliminate the need to handle material multiple times. The increased space will also help us expand our processing capabilities. Many of our manufacturers are requiring us to process the material they purchase from us so that it can go directly into their manufacturing lines upon receipt. We will also be able to increase our delivery service with the increase in capacity.

Short Environmental Assessment Form

Part 1 - Project Information

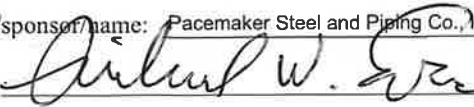
Instructions for Completing

Part 1 – Project Information. The applicant or project sponsor is responsible for the completion of Part 1. Responses become part of the application for approval or funding, are subject to public review, and may be subject to further verification. Complete Part 1 based on information currently available. If additional research or investigation would be needed to fully respond to any item, please answer as thoroughly as possible based on current information.

Complete all items in Part 1. You may also provide any additional information which you believe will be needed by or useful to the lead agency; attach additional pages as necessary to supplement any item.

Part 1 – Project and Sponsor Information			
Pacemaker Steel and Piping Co., Inc.			
Name of Action or Project:			
Pacemaker Steel - Consolidation and Relocation Project - Phase 1			
Project Location (describe, and attach a location map):			
1963 Country Mile Road, Town of Frankfort, Herkimer County, New York			
Brief Description of Proposed Action:			
Pacemaker is acquiring a 38,750± square foot manufacturing facility situated on two parcels of land measuring 8.299± acres in the aggregate located at 1963 Country Mile Road in the Town of Frankfort. Major building renovations will include (i) renovation of first floor office and construction of second floor office; (ii) installation of 20' doors on the west and east sides of the building to allow trucks to drive through the building; (iii) installation of a state-of-art racking system; (iv) acquisition and installation of new processing equipment (including saws and shears); (v) acquisition and installation of new electric forklifts (to replace propane forklifts); and (vi) acquisition and installation of ERP software (to upgrade current software).			
Name of Applicant or Sponsor:		Telephone: 315-235-2680	
Pacemaker Steel and Piping Co., Inc.		E-Mail: revans@pacemakersteel.com	
Address:			
501 Main Street			
City/PO:		State:	Zip Code:
Utica		NY	13501
1. Does the proposed action only involve the legislative adoption of a plan, local law, ordinance, administrative rule, or regulation?			NO
If Yes, attach a narrative description of the intent of the proposed action and the environmental resources that may be affected in the municipality and proceed to Part 2. If no, continue to question 2.			YES
			☒
			☐
2. Does the proposed action require a permit, approval or funding from any other government Agency?			NO
If Yes, list agency(s) name and permit or approval: Building permit, Town of Frankfort Planning Board			YES
			☐
			☒
3. a. Total acreage of the site of the proposed action?		8.299 acres	
b. Total acreage to be physically disturbed?		_____ acres	
c. Total acreage (project site and any contiguous properties) owned or controlled by the applicant or project sponsor?		8.299 acres	
4. Check all land uses that occur on, are adjoining or near the proposed action:			
☐ Urban ☐ Rural (non-agriculture) ☒ Industrial ☐ Commercial ☐ Residential (suburban)			
☐ Forest ☐ Agriculture ☐ Aquatic ☐ Other(Specify):			
☐ Parkland			

5. Is the proposed action,	NO	YES	N/A
a. A permitted use under the zoning regulations?	☐	☒	☐
b. Consistent with the adopted comprehensive plan?	☐	☒	☐
6. Is the proposed action consistent with the predominant character of the existing built or natural landscape?	NO	YES	
	☐	☒	
7. Is the site of the proposed action located in, or does it adjoin, a state listed Critical Environmental Area?	NO	YES	
If Yes, identify: _____	☒	☐	
8. a. Will the proposed action result in a substantial increase in traffic above present levels?	NO	YES	
	☒	☐	
b. Are public transportation services available at or near the site of the proposed action?	☐	☒	
c. Are any pedestrian accommodations or bicycle routes available on or near the site of the proposed action?	☒	☐	
9. Does the proposed action meet or exceed the state energy code requirements?	NO	YES	
If the proposed action will exceed requirements, describe design features and technologies: _____ _____	☐	☒	
10. Will the proposed action connect to an existing public/private water supply?	NO	YES	
If No, describe method for providing potable water: _____ _____	☐	☒	
11. Will the proposed action connect to existing wastewater utilities?	NO	YES	
If No, describe method for providing wastewater treatment: _____ _____	☐	☒	
12. a. Does the project site contain, or is it substantially contiguous to, a building, archaeological site, or district which is listed on the National or State Register of Historic Places, or that has been determined by the Commissioner of the NYS Office of Parks, Recreation and Historic Preservation to be eligible for listing on the State Register of Historic Places?	NO	YES	
	☒	☐	
b. Is the project site, or any portion of it, located in or adjacent to an area designated as sensitive for archaeological sites on the NY State Historic Preservation Office (SHPO) archaeological site inventory?	☒	☐	
13. a. Does any portion of the site of the proposed action, or lands adjoining the proposed action, contain wetlands or other waterbodies regulated by a federal, state or local agency?	NO	YES	
	☒	☐	
b. Would the proposed action physically alter, or encroach into, any existing wetland or waterbody?	☒	☐	
If Yes, identify the wetland or waterbody and extent of alterations in square feet or acres: _____ _____ _____			

14. Identify the typical habitat types that occur on, or are likely to be found on the project site. Check all that apply: ☐ Shoreline ☐ Forest ☐ Agricultural/grasslands ☐ Early mid-successional ☐ Wetland ☐ Urban ☒ Suburban		
15. Does the site of the proposed action contain any species of animal, or associated habitats, listed by the State or Federal government as threatened or endangered?	NO	YES
	☒	☐
16. Is the project site located in the 100-year flood plan?	NO	YES
	☒	☐
17. Will the proposed action create storm water discharge, either from point or non-point sources? If Yes, a. Will storm water discharges flow to adjacent properties? b. Will storm water discharges be directed to established conveyance systems (runoff and storm drains)? If Yes, briefly describe:	NO	YES
	☐	☒
	☒	☐
	☒	☐
18. Does the proposed action include construction or other activities that would result in the impoundment of water or other liquids (e.g., retention pond, waste lagoon, dam)? If Yes, explain the purpose and size of the impoundment:	NO	YES
	☒	☐
19. Has the site of the proposed action or an adjoining property been the location of an active or closed solid waste management facility? If Yes, describe:	NO	YES
	☒	☐
20. Has the site of the proposed action or an adjoining property been the subject of remediation (ongoing or completed) for hazardous waste? If Yes, describe:	NO	YES
	☒	☐
I CERTIFY THAT THE INFORMATION PROVIDED ABOVE IS TRUE AND ACCURATE TO THE BEST OF MY KNOWLEDGE Applicant/sponsor name: <u>Pacemaker Steel and Piping Co., Inc.</u> Date: <u>August 24, 2026</u> Signature: <u></u> Title: <u>Richard W. Evans, President</u>		